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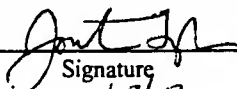
I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231
on April 18, 2002

Date of Deposit

Jonathan P. Taylor, Ph.D. - Reg. No. 48,338

Name of applicant, assignee or
Registered Representative



Signature

4/18/02

Date of Signature



COPY OF PAPERS
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Case No. 9793/93

Roche Ref. No. RDS 1083 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Min Li et al.

Serial No.: 10/057,762

Filed: January 25, 2002

For: Water-Soluble Derivatives
of Lipophilic Drugs

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:


In accordance with the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted mailed February 19, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application and Power of Attorney for the above-referenced patent application.

- ☒ Applicant is:
- ☐ a small entity
- ☒ other than small entity
- ☒ A check totaling \$1,638 for:
- ☒ Filing Fee of \$740.
- ☒ Surcharge of \$130.
- ☒ Additional Claim Fees of \$768.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Assignment Transmittal, Assignment, Check for \$40, and Return Postcard.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: April 18, 2002


Jonathan P. Taylor, Ph.D.
Registration No. 48,338
Agent for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312) 321-4200

TRANSMITTAL LETTER		Case No. 9793/93 Roche Ref. # RDS 1083 US
Serial No. 10/057,762	Filing Date January 25, 2002	Examiner To Be Assigned
Inventor(s) Min Li et al.		Group Art Unit 1645
Title of Invention Water-Soluble Derivatives of Lipophilic Drugs		

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal Letter (in duplicate), Notice to File Missing Parts of Nonprovisional Application (Filing Date Granted), Response to Notice to File Missing Parts of Nonprovisional Application Filing Date Granted (in duplicate), Declaration, Power of Attorney, Assignment Transmittal, Assignment, Checks for \$1,638 and \$40, and Return Postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

**COPY OF PAPERS
ORIGINALLY FILED**

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		or	Other Than Small Entity	
Rate	Add'l Fee		Rate	Add'l Fee
x \$9 =			x \$18 =	
x 42 =			x \$84 =	
+ \$140 =			+ \$280 =	
Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$____. A duplicate copy of this sheet is enclosed.
- ☒ Checks in the amounts of \$1,638 and \$40 to cover the filing fees are enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



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PATENT TRADEMARK OFFICE

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 18, 2002.

Date: 4/18/02

Jonathan P. Taylor, Ph.D.
Registration No. 48,338
Agent for Applicants

Copied from 09848733 on 21-04-2004

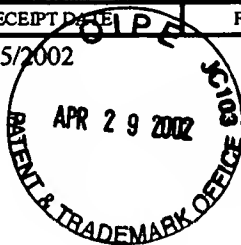


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,762	01/25/2002	Min Li	9793/93

00757
BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610



CONFIRMATION NO. 9551
FORMALITIES LETTER



OC00000007493211

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/01/2002 MBERHE 00000065 10057762

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	432.00 OP
04 FC:102	336.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The statutory basic filing fee is missing.


Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).

- Total additional claim fee(s) for this application is \$768.
 - \$432 for 24 total claims over 20.
 - \$336 for 4 independent claims over 3.
- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1638.

*A copy of this notice **MUST** be returned with the reply.*

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ORIGINALLY FILED


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE